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## ***CUSTOMER IDENTIFICATION PROGRAM (CIP), SIPC, AND PRIVACY***

### **CIP**

*United States Federal law requires all financial institutions to obtain, verify against various government lists, and record information that identifies each person, who opens an account or establishes a securities business relationship. As a broker dealer registered with FINRA and the SEC, and specializing in private investments and wealth management, Independent Brokerage Solutions LLC will maintain on file copies of each investor's new account and subscription documentation, which include for:*

- Investors, who are natural persons - name, residential address, date of birth, and other verification information that will allow Independent Brokerage Solutions LLC to identify you;
- Investors, which are entities or organizations - the name, physical location, and other official documentation identifying the entity's existence.

### **SIPC NOTICE**

*The role of the Securities Investor Protection Corporation (SIPC) is to return funds and securities to investors if the broker-dealer holding these assets becomes insolvent. You may obtain more information about SIPC, including the SIPC brochure, at SIPC's Web site at [www.sipc.org](http://www.sipc.org); or, by calling them at (202) 371-8300.*

### **PRIVACY POLICY**

*The Financial Services Modernization Act of 1999 resulted in a number of changes to the financial services industry. In conjunction with these changes, financial institutions are required to tell customers how their personal information is treated. SEC Regulation S-P requires us to provide this statement to you.*

Independent Brokerage Solutions LLC and its employees strongly believe in protecting your confidentiality and the security of personal information we collect from you.

Non-public information about you may be disclosed in some cases: to broker-dealers or custodians in order to process transactions in your accounts; to respond to inquiries from you or your representative; or to fulfill legal and regulatory requirements. We do not make any disclosures of information to other companies, which may want to sell products or services to you.

Our employees are instructed to protect the confidentiality of information and are required to comply with our established policies.

Independent Brokerage Solutions LLC's commitment to protecting your privacy has always been our policy. We will continue to safeguard your privacy and the confidentiality of the information you provide to us.

If you have any questions, please do not hesitate to contact your account representative.